

Splitting f_{max} : separating site-controlled and
source-controlled contributions
into the upper cutoff of acceleration spectrum
of a local earthquake

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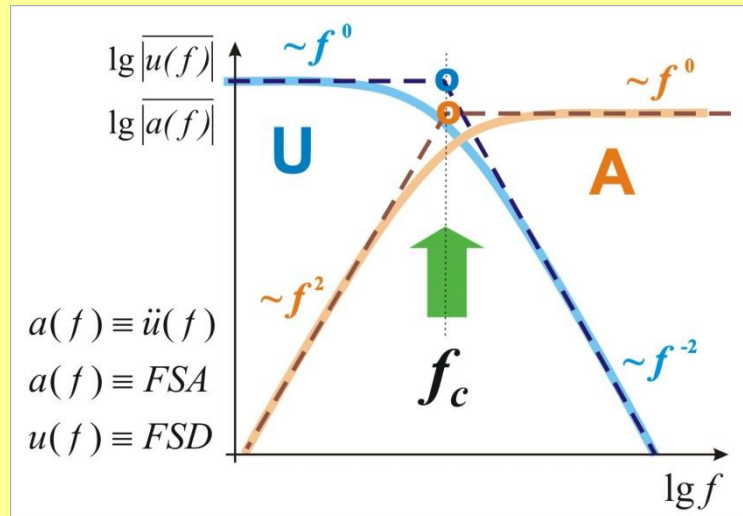
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Starting point: “ ω^{-2} ” or “omega-square” model

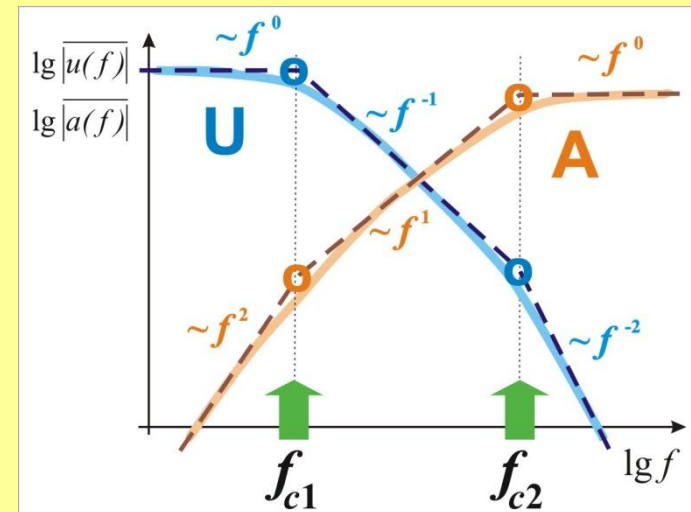
for the shape of far-field earthquake source spectrum

Single corner Aki 1967, Brune 1970



Single-corner displacement $u(f)$ spectrum after Aki(1967), also the simpler, standard variant after (Brune 1970); $\varepsilon=1$
single corner frequency f_c

Double corner, Brune 1970 : $\varepsilon < 1$



Two-corner $u(f)$ spectrum, advanced, non-standard variant after (Brune 1970); $\varepsilon < 1$
two corner frequencies f_{c1} , f_{c2}

Key points:

1. flat (f^0) source acceleration spectrum
2. f_{c2} is commonly seen in observed spectra

“Source-controlled f_{max} ”, or 3rd corner frequency, f_{c3} : does it exist? how it scales?

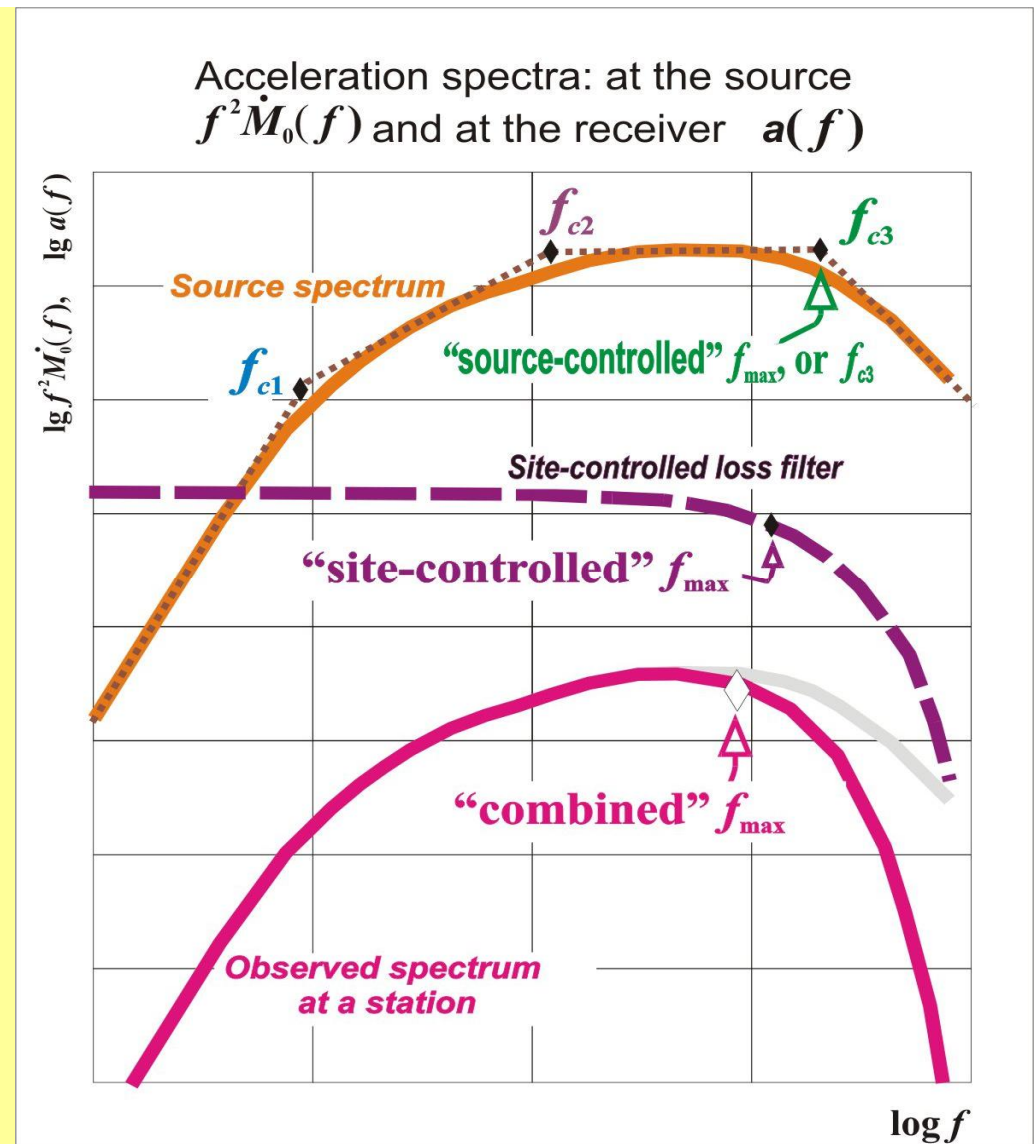
HISTORY

Hanks (1982) emphasized the “ f_{max} ” phenomenon: $a(f)$ shows **HF cutoff**.

Papageorgiou and Aki(1983) and Gusev (1983) ascribed it to **source**; Aki (1988) noticed f_{max} vs M_0 trend with **unusual scaling** that might support this idea

Hough and Anderson (1984) have shown convincingly that **site-related loss** controls f_{max}

Still, accumulated evidence suggests that f_{max} is a complex feature; it incorporates **both site**-controlled and **source**-controlled components



Outline of the study

1. Compile a preliminary attenuation model ($Q(f), \kappa_0$)
2. (a) Use it to correct observed spectra
for propagation loss
(b) From corrected spectra, extract f_{c3} and also f_{c2}
3. Using the observed spectra within the limited $[f_{c2}, f_{c3}]$ frequency range, adjust the attenuation model
4. Repeat steps 2 and 3 until convergence
5. Discuss the obtained f_{c3} data set

Data set used

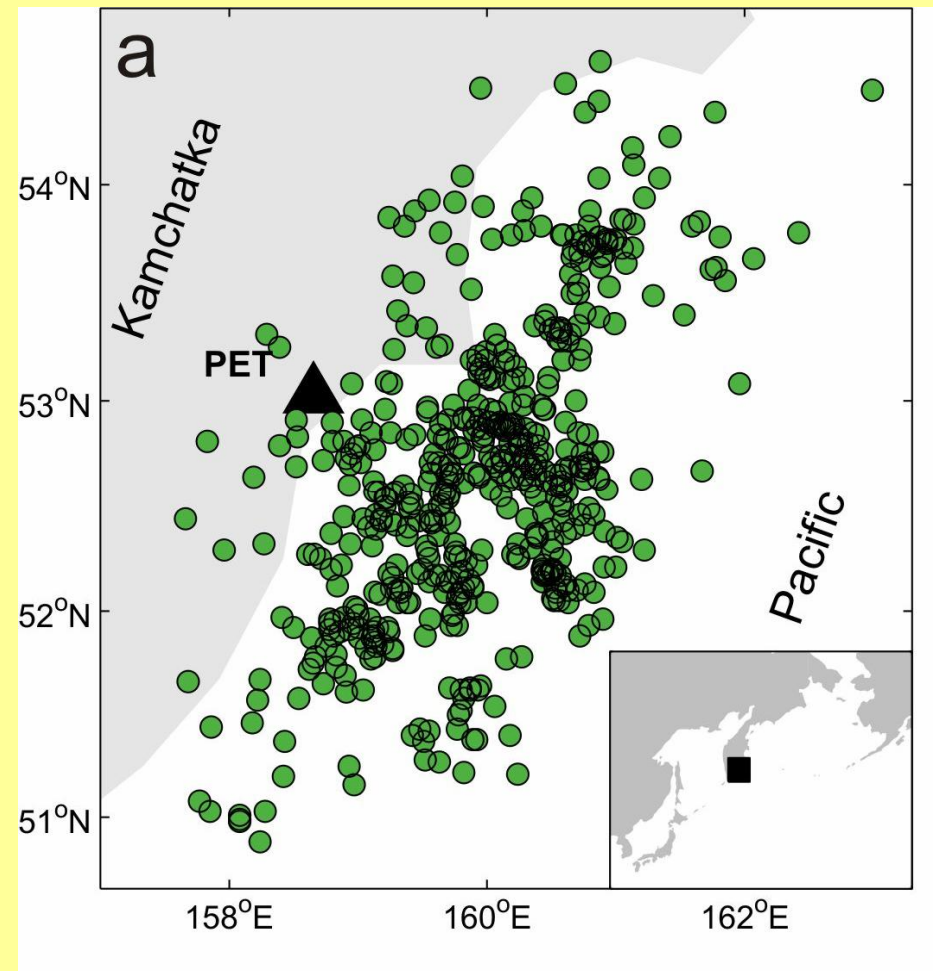
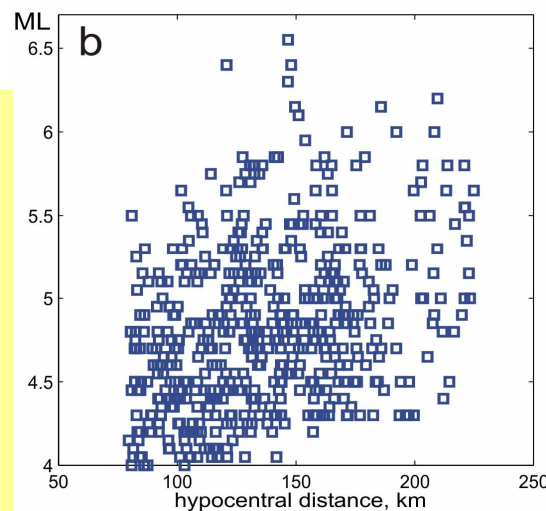
Records of **S-wave** group
by low-gain digital accelerograph
(HN channel, **80 sps**)
of IRIS BB station PET

439 records of 1993-2005
+ additional **130**

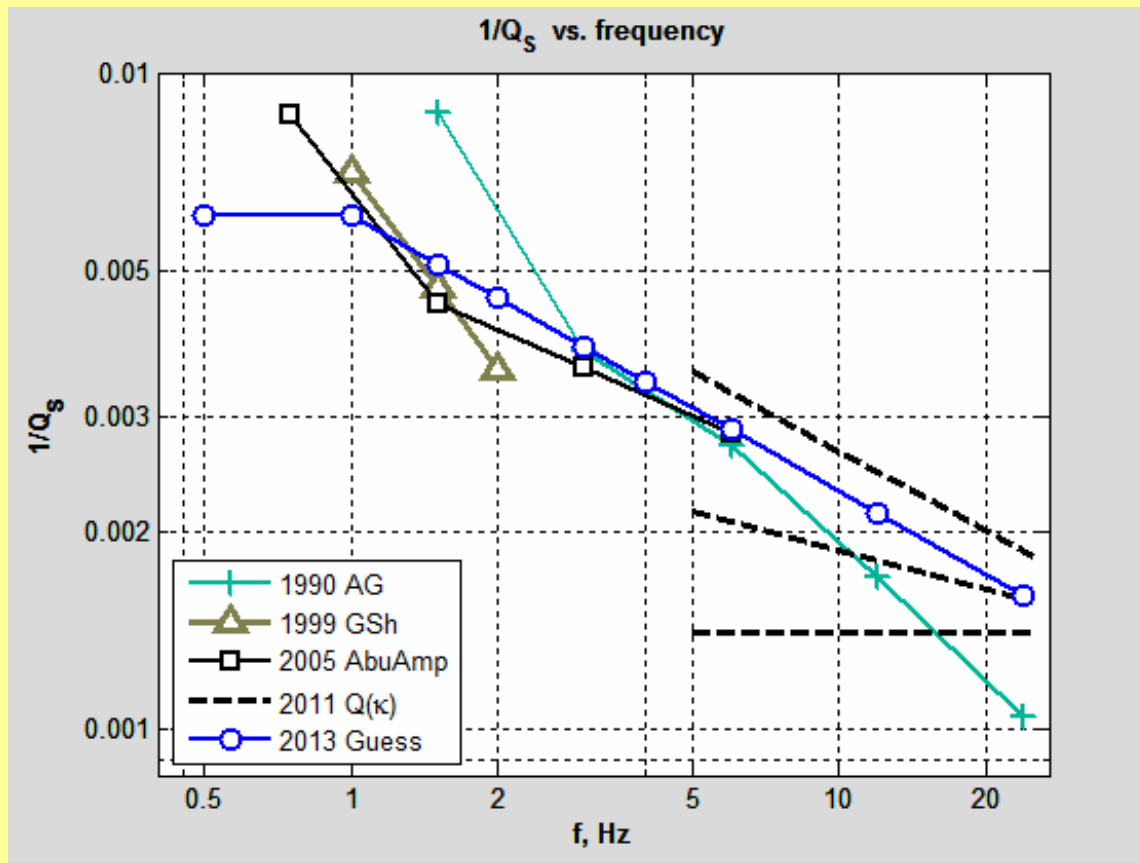
Hypo distance **80-220 km**

Depth range **0-200 km**,
mostly 0-50 km

$M_L = 4 - 6.5$



Compilation of the initial loss model on the basis of earlier results



accepted $Q_s(f)$ model: —

Main sources used in compilation:

in the 1-6 Hz band from (Abubakirov 2005) who used coda-normalized spectral levels of band-filtered data

in the 5-25 Hz band based on (Gusev Guseva 2011) who analyzed kappa values;

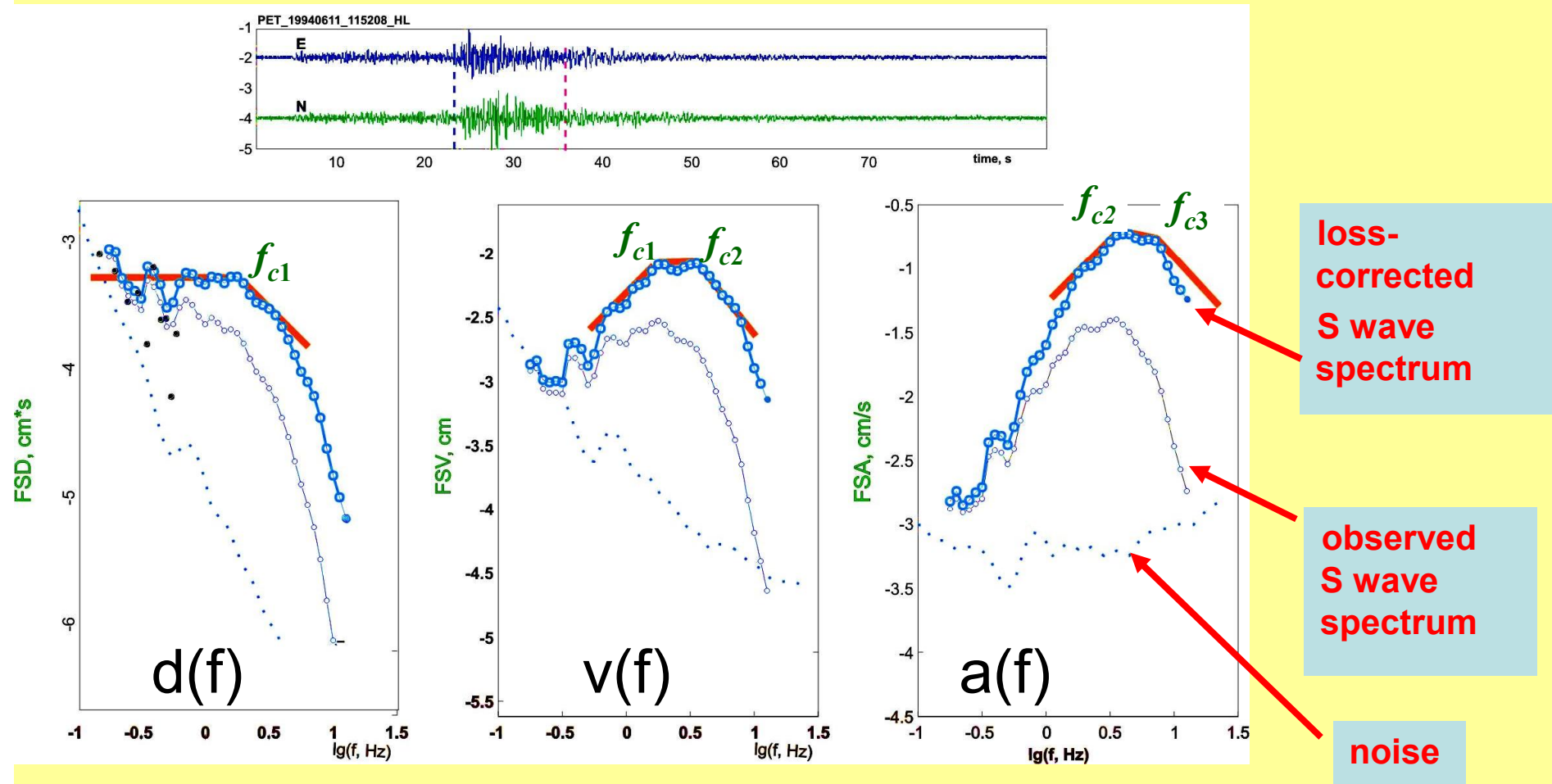
accepted trend at $r=100$ km:

$$Q_s(f) = 165 f^{0.42}$$

also $\kappa_0 = 0.016$ s

and slow decay of Q_s^{-1} vs. r

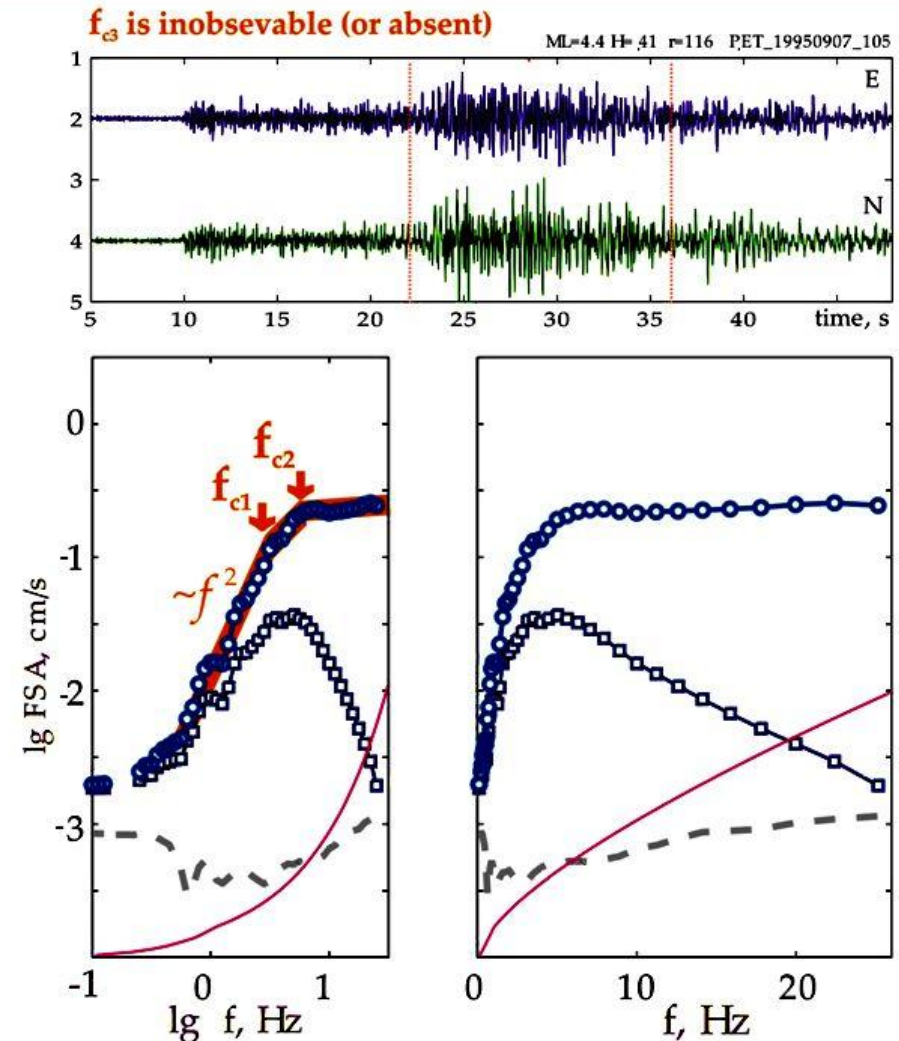
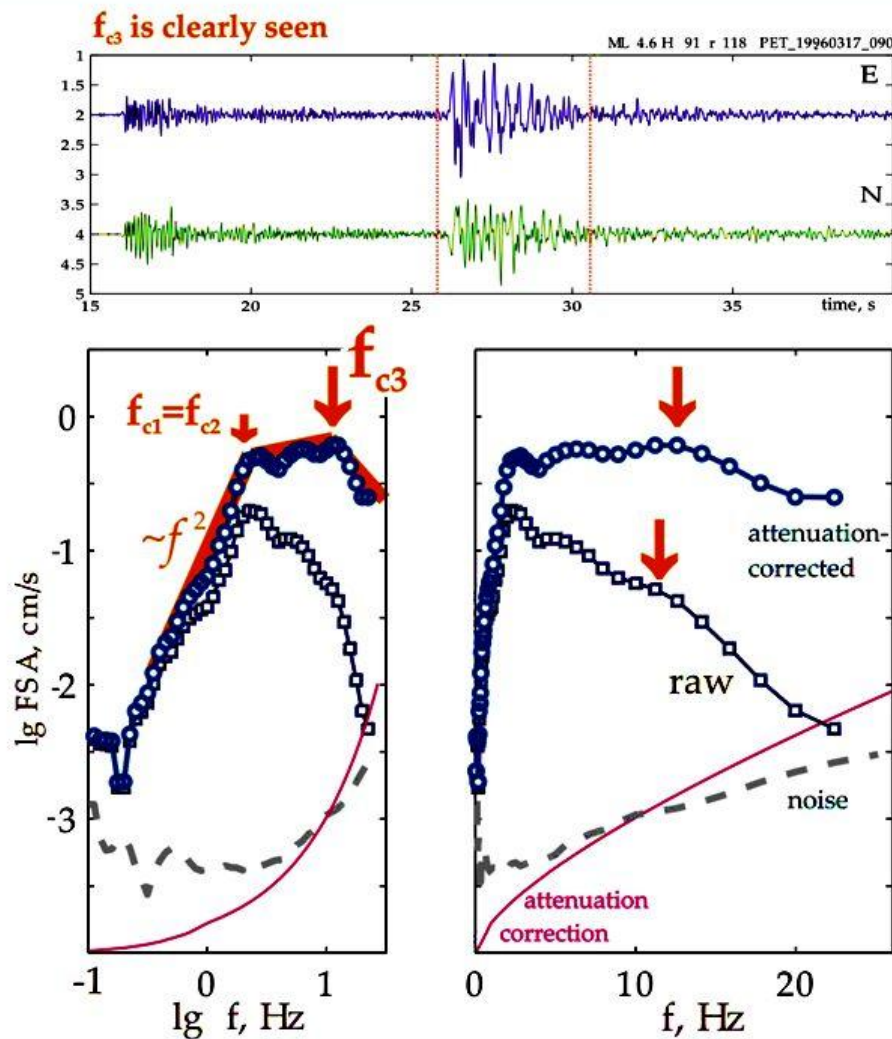
Example of processing in a case when each of f_{c1} , f_{c2} and f_{c3} is observable



spectral smoothing window used:
0.15 log units (1/2 octave)

when picking f_{ci} , slope of selected “plateaus”
in $v(f)$ and $a(f)$ plots was kept in the range ± 0.5

More example cases: f_{c3} may be observable or unobservable/absent



Here is why f_{c3} is difficult to notice when working in the log-linear scale

Finding new adjusted S-wave attenuation model. 1. Setting the model

Assumed attenuation model for loss factor in *S*-wave Fourier spectrum:

$$-\log_e \{A(f) / A_0(f)\} = \pi f \kappa_0 + \pi f(r/c) Q^{-1}(f, r)$$

where

r - hypocentral distance

κ_0 – constant loss factor for a site; $\kappa_0 \approx \ln 2 / \pi f_{\max\text{-loss}}$

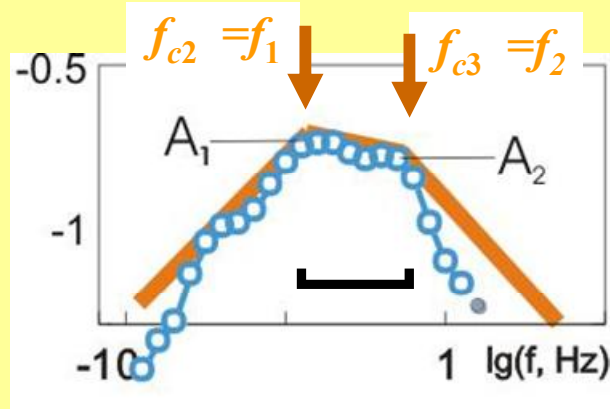
c - wave velocity; and $Q(f, r)$ – path quality factor:

$$Q^{-1}(f, r) = Q_0^{-1} (f/f_0)^{-\gamma} (1 + q(r-r_0)/r_0)$$

where $f_0 = 1$ Hz, $r_0 = 100$ km, $c = 3.8$ km/c;

and **unknowns in inversion** are: κ_0 , Q_0 , γ , q

Finding new adjusted *S*-wave attenuation model. 2. Inversion



Parameters of observations used in inversion are
 spectral amplitudes A_1 and A_2
 at the ends of the $f_1 - f_2$ spectrum segment
 (assumed to be flat in the true source spectrum)
 the condition of minimum width: $\Delta f = f_1 - f_2 > 2$ Hz
 makes a system of $N=384$ equations; using weight $= 1/\Delta f$

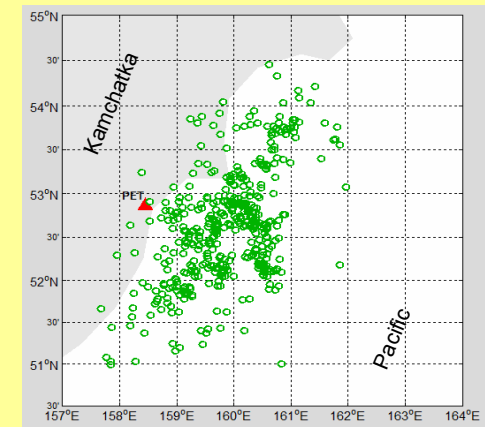
Denote κ_0 , Q_0^{-1} , γ and q as $x_i = \{x_1, x_2, x_3, x_4\}$; $y_j = \log_e A_2 / A_1$

Obtain the system of N equations

$$y_j = F_j(x_i) + \varepsilon_j$$

where

$$F_j(x_i) = -\pi Q_0^{-1} (f_2^{1-\gamma} - f_1^{1-\gamma}) \left(1 + q \frac{r_j - 100}{100} \right) \frac{r_j}{c} - \pi \kappa_0 (f_2 - f_1)$$



Finding new adjusted S -wave attenuation model. 3.Result

Nonlinear inversion procedure:

Nelder-Mead (1964) simplex method

*Error bounds: determined using
“delete-d jackknife method”*

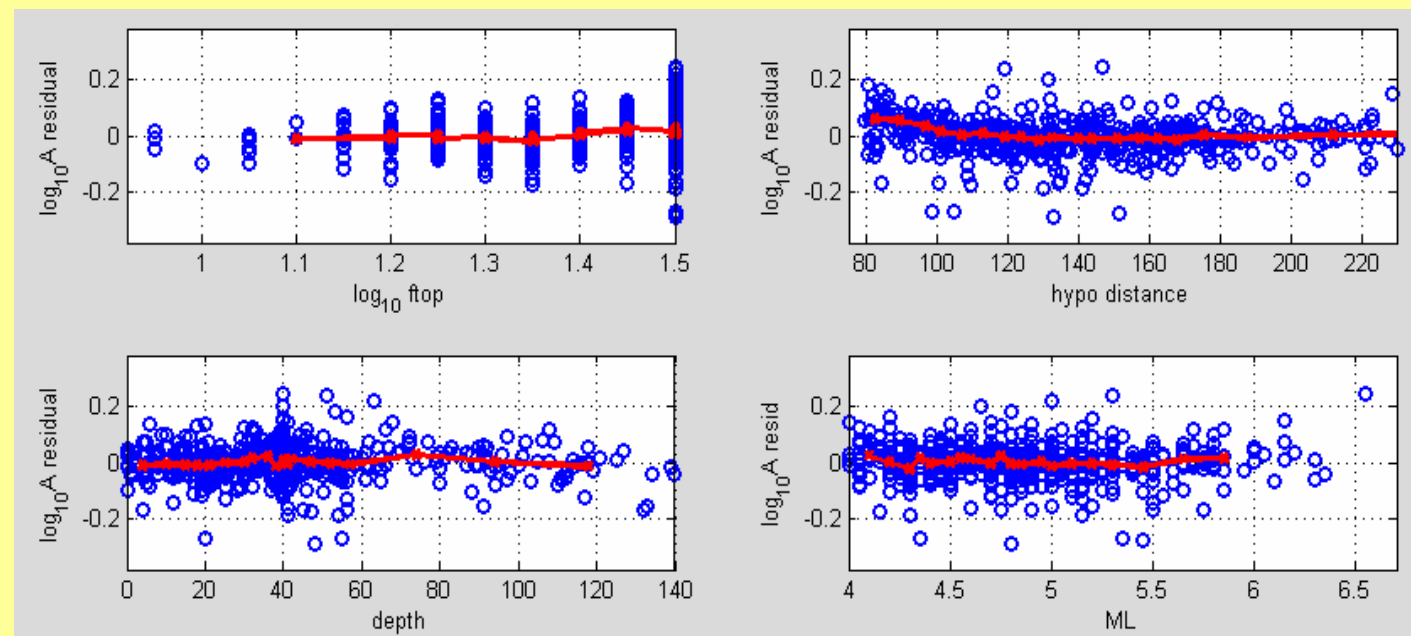
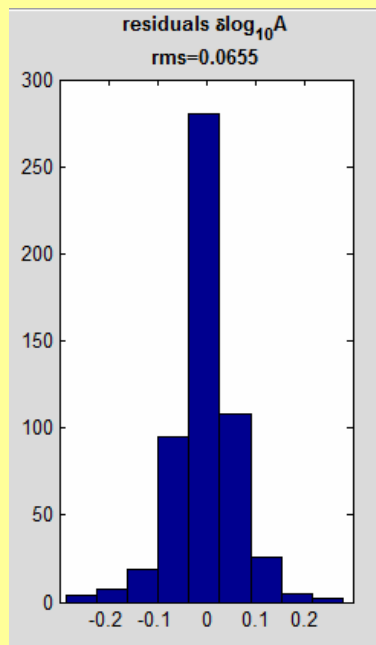
Inverted 2014 (1st iteration):

$$Q_S(f) = (140 \pm 33) f^{0.54 \pm 0.08} \quad \kappa_0 = 0.027 \pm 0.07 \text{ s}$$

Inverted 2015 (2nd iteration):

$$Q_S(f) = 164 f^{0.59} \quad \kappa_0 = 0.034$$

Residuals of $\delta \log A = \log(A_2/A_1)$ fitted by the inverted attenuation model:
histogram and plots of $\delta \log A$ against f_{top} , r , $depth$, and M_L



$Q_s(f|r=100\text{km})$:

Guess 2013:

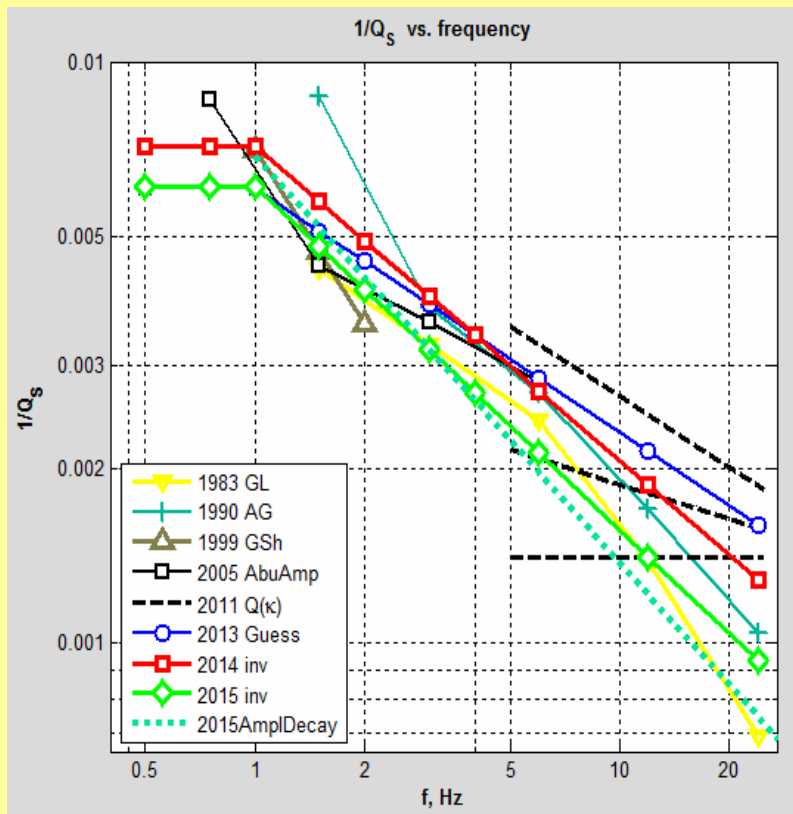
$$Q_s(f) = 165 f^{0.42} + \kappa_0 = 0.016 \text{ s}$$

Inverted 2014 (1st iteration):

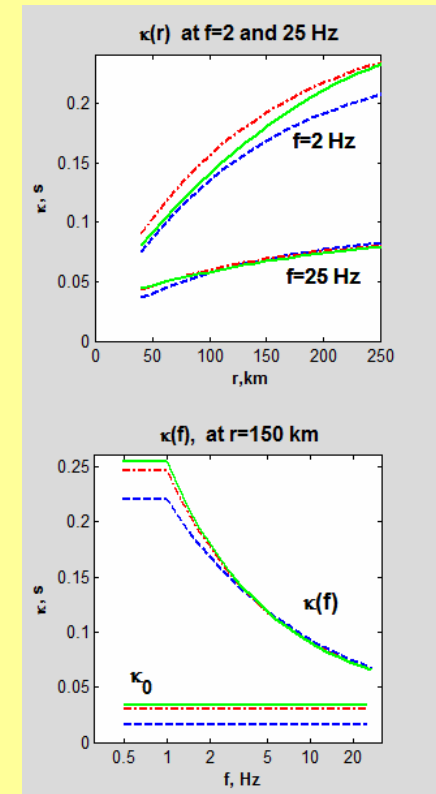
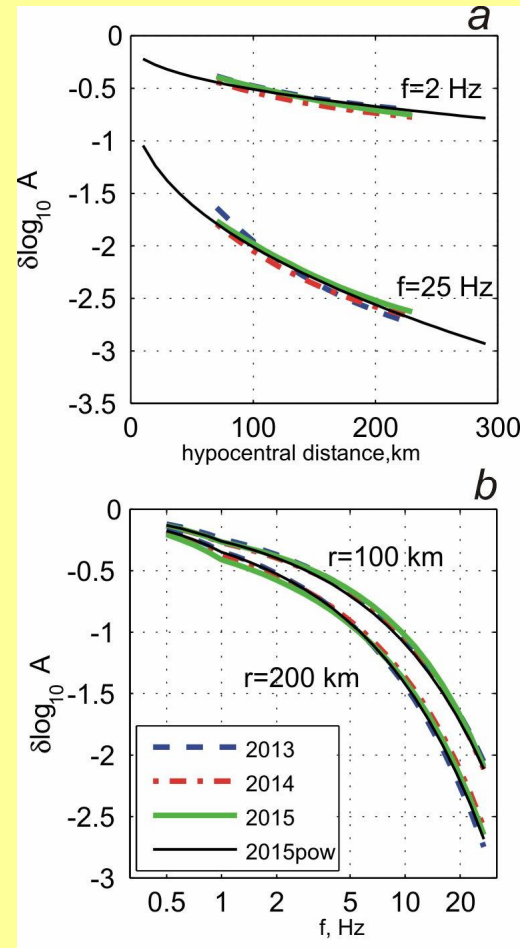
$$Q_s(f) = (140 \pm 33) f^{0.54 \pm 0.08} + \kappa_0 = 0.027 \pm 0.07 \text{ s}$$

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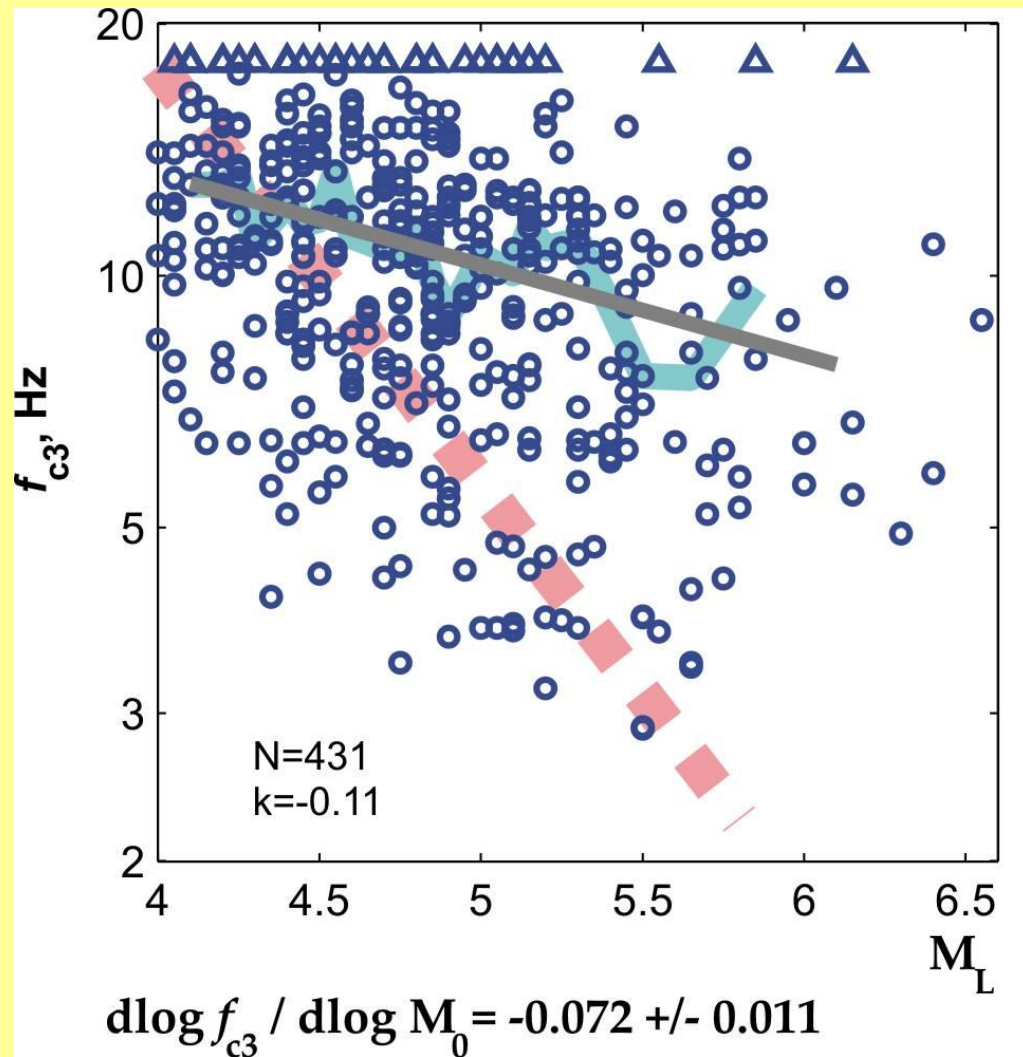


Comparing initial and inverted loss models



Loss variants **2014** and **2015** match
CONVERGENCE!

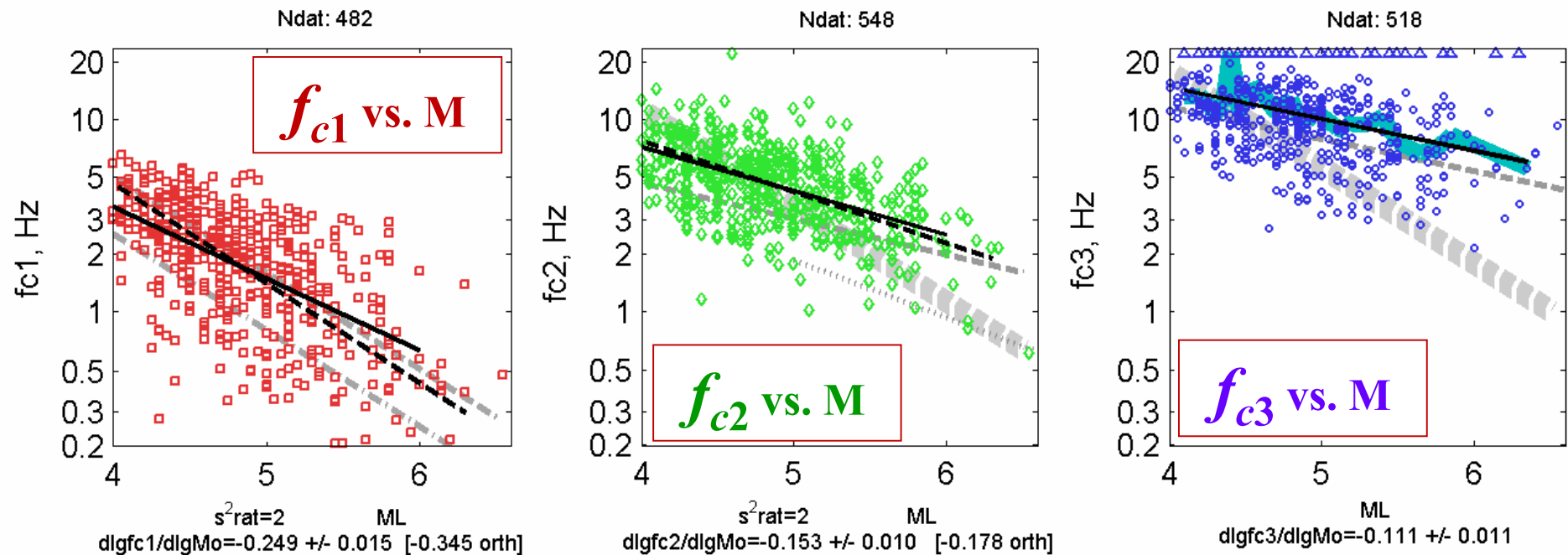
$$f_{c3}(M_0): d\log f_{c3}/d\log M_0 = -0.07 \pm 0.011$$



- 1 - f_{c3}
- △ 2 - $f_{c3} > 18$ Hz
- 3 - running median
- 4 - data trend
- 5 - theo.trend when $f_{c3} \sim M_0^{-1/3}$

Slope estimates:
 -0.07 [2014]
 -0.11 [2015, adjusted]

All three trends side by side: see how scaling varies



(1) Each of the 3 trends is different

(2) Assumption of *similarity*:

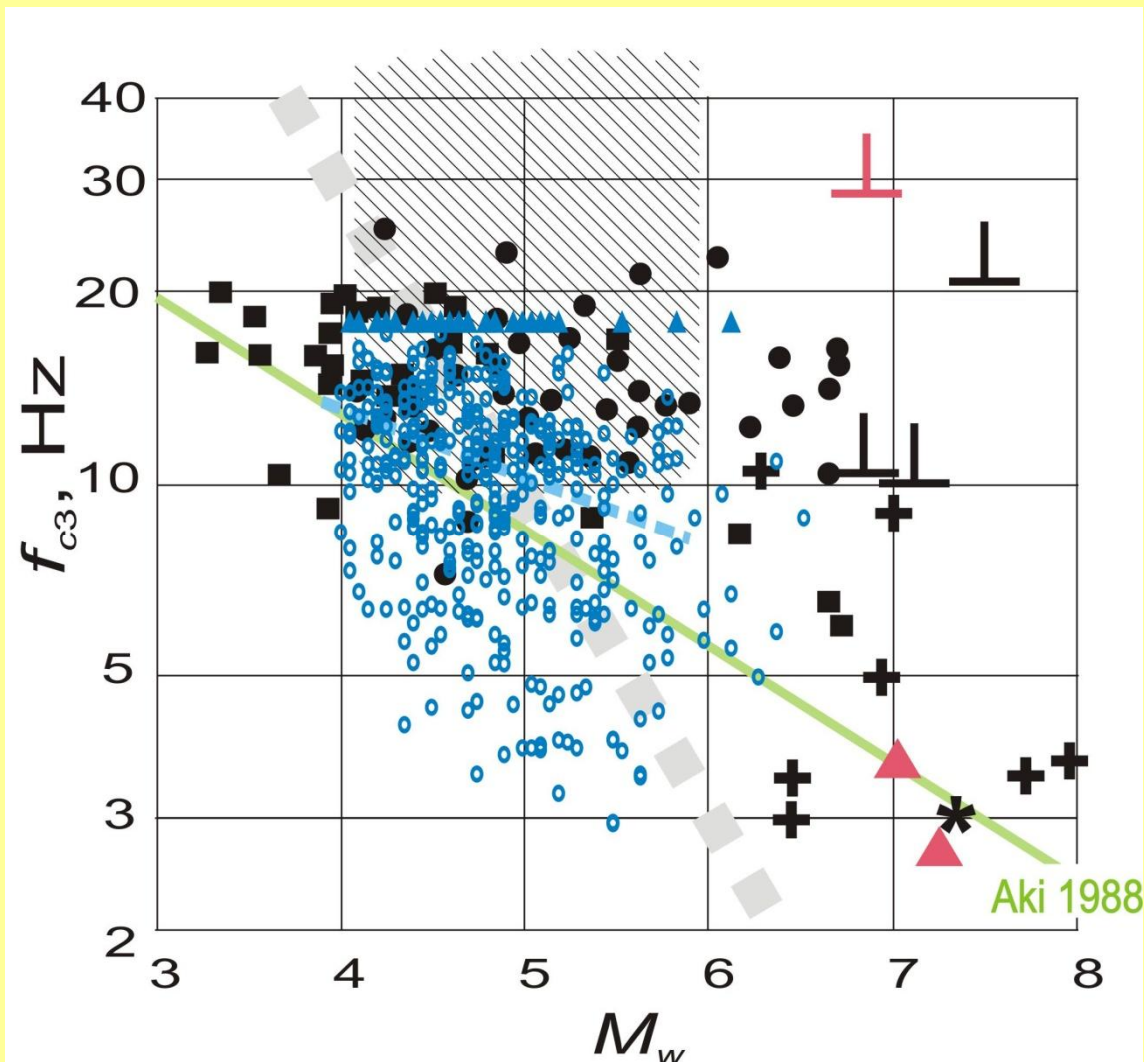
$\approx$ holds for f_{c1} ;

breaks for f_{c2} and f_{c3}

grey trends:

- (1) Taiwan, globe
- (2) ENA WUSA
- (3) Aki 1988

Trend of f_{c3} vs. M_w : new data compared to compilation-2010



black: compilation
[Gusev 2013]

○ ▲ 2015 Kamchatka
data

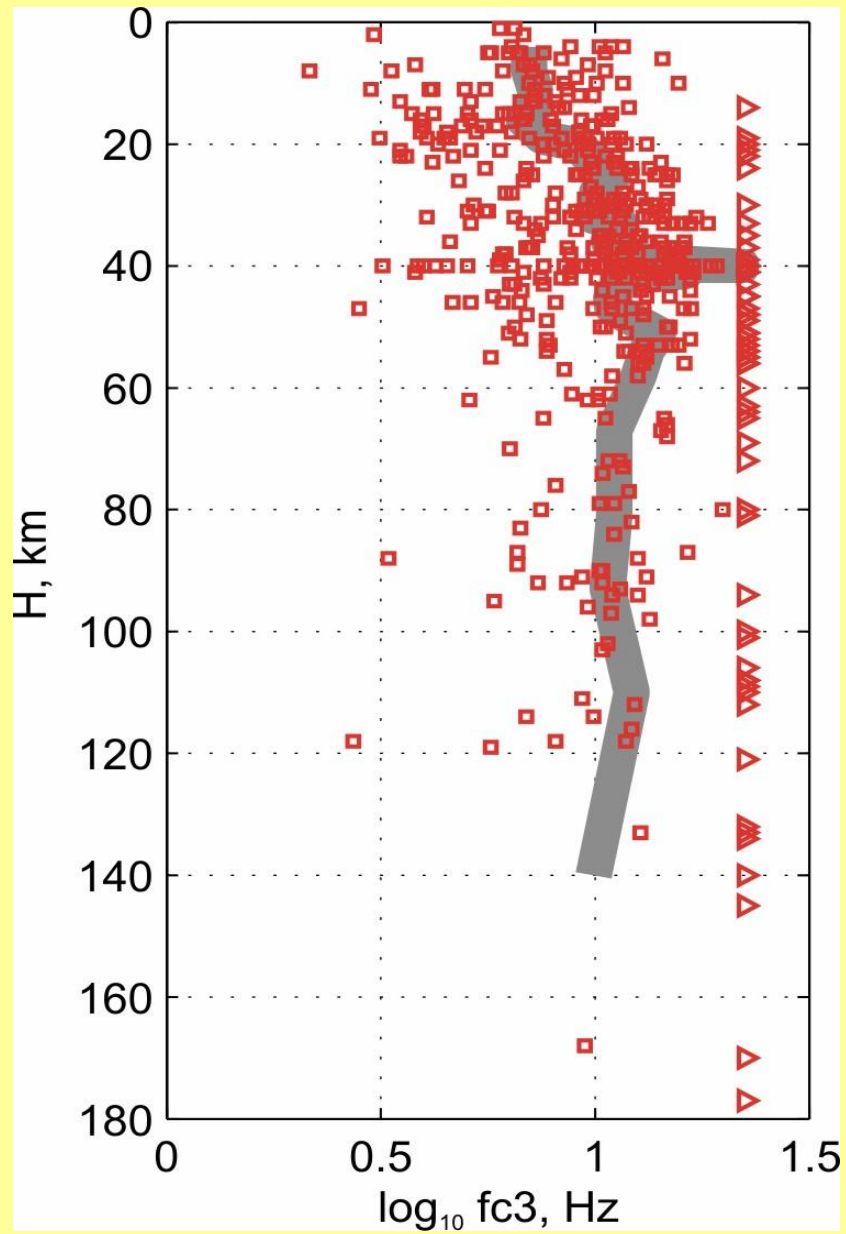
— Aki 1988

▲ ⊥ Faccioli 1986

High f_{c3} :

NO ANOMALY

An important part of
the general picture



$$f_{c3}(H)$$

f_{c3}
grows
with
depth
up to
50 km

Possible physics that underlies the existence of f_{c3} and the trend of f_{c3} vs. M_0

Formation of f_{c3} can be attributed to the summary effect of the following factors:

- (1) lower (or high-wavelength) limit of the size
of fault surface heterogeneity [Gusev 1990]
- (2) finite fault zone thickness (gauge layer etc.) [Papageorgiou & Aki 1983]
- (3) finite cohesive zone width [Campillo 1983]

The trend $f_{c3} \propto f_{c1}^{0.2-0.3}$ or $f_{c3} \propto M_0^{-0.1}$

suggests that f_{c3} slowly decreases with source size

An underlying *cause* of such a trend may be
variations of maturity of fault surface :

the greater *distance* fault walls have slipped, the larger is their *wear*, and:

- (1) the lower is the upper cutoff of heterogeneity spectrum [by abrasion]
- (2) the wider/thicker is weak fault zone [by wear product accumulation]

[Gusev 1990; Matsu'ura 1990, 1992].

Conclusions

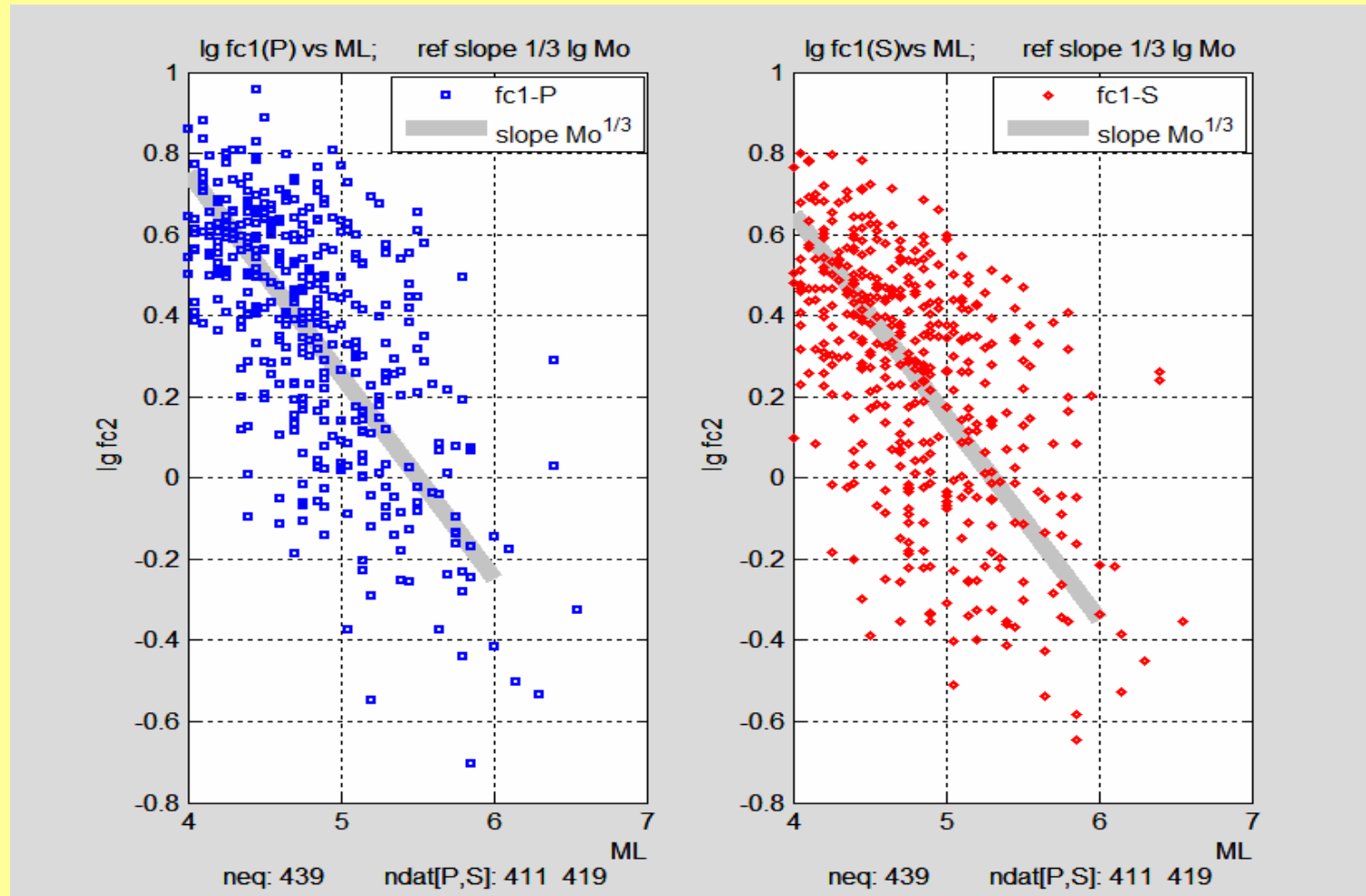
1. Systematic separation of source-controlled and attenuation-controlled constituents of $f_{\max}$ is undertaken.
2. To enable this kind of analysis, an attenuation model for the lithosphere around PET station was guessed and then verified in iterative mode using spectral inversion.
3. Among ≈ 500 attenuation-corrected spectra of $M=4-6$ earthquakes, a large fraction shows source-controlled $f_{\max}$, i.e. the third corner frequency f_{c3} . However, for $\approx 25\%$ spectra, the classical ω^{-2} model is valid.
4. The values of f_{c3} are in the range 3-20 Hz; they slowly decay with magnitude. The distribution of (f_{c3}, M) pairs agrees with earlier work.

thank you for attention

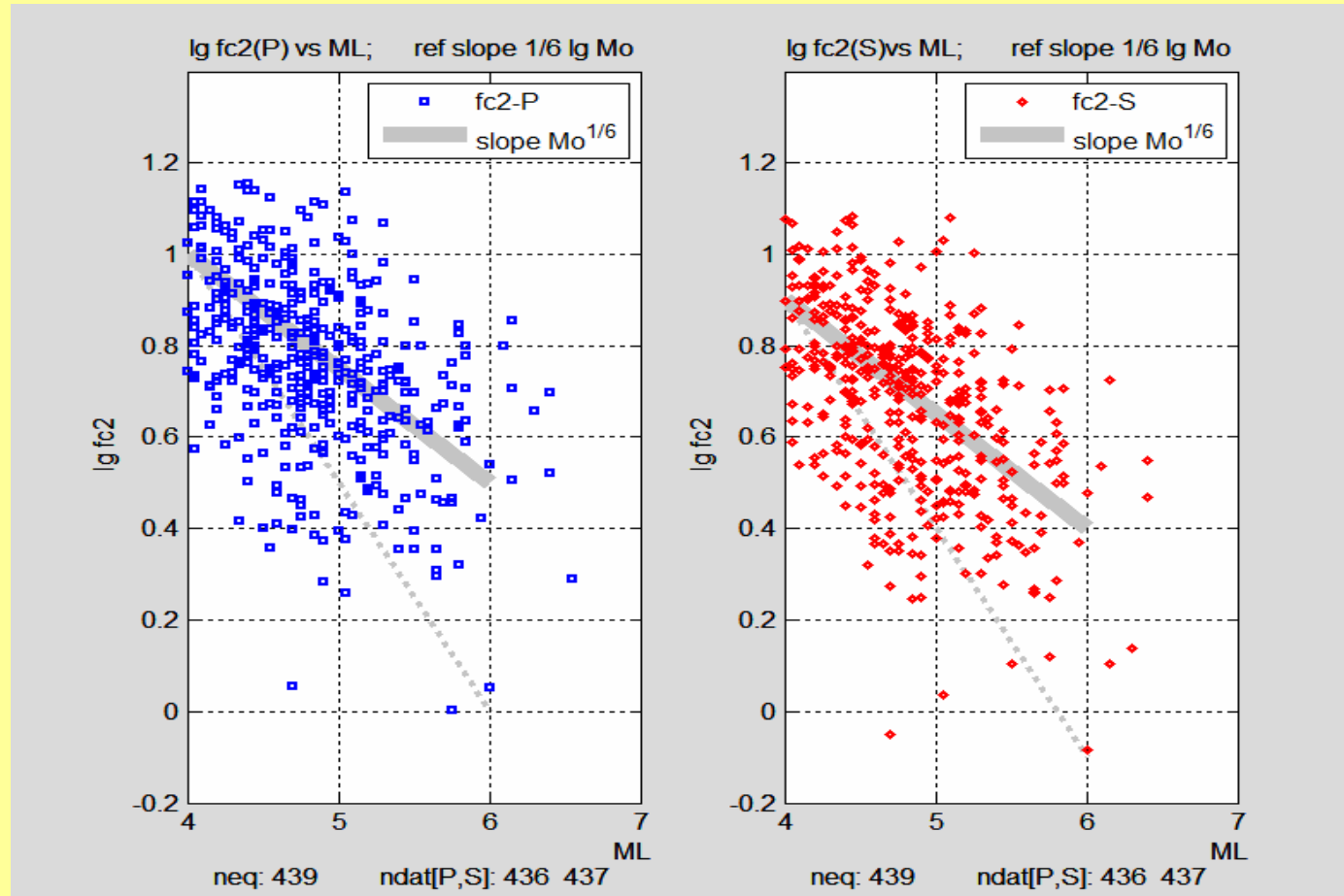
Step 4. $f_{c1}(M)$: $d \lg f_{c1} / d \lg M_0 \approx -1/3$

common, regular trend;

in agreement with the similarity concept

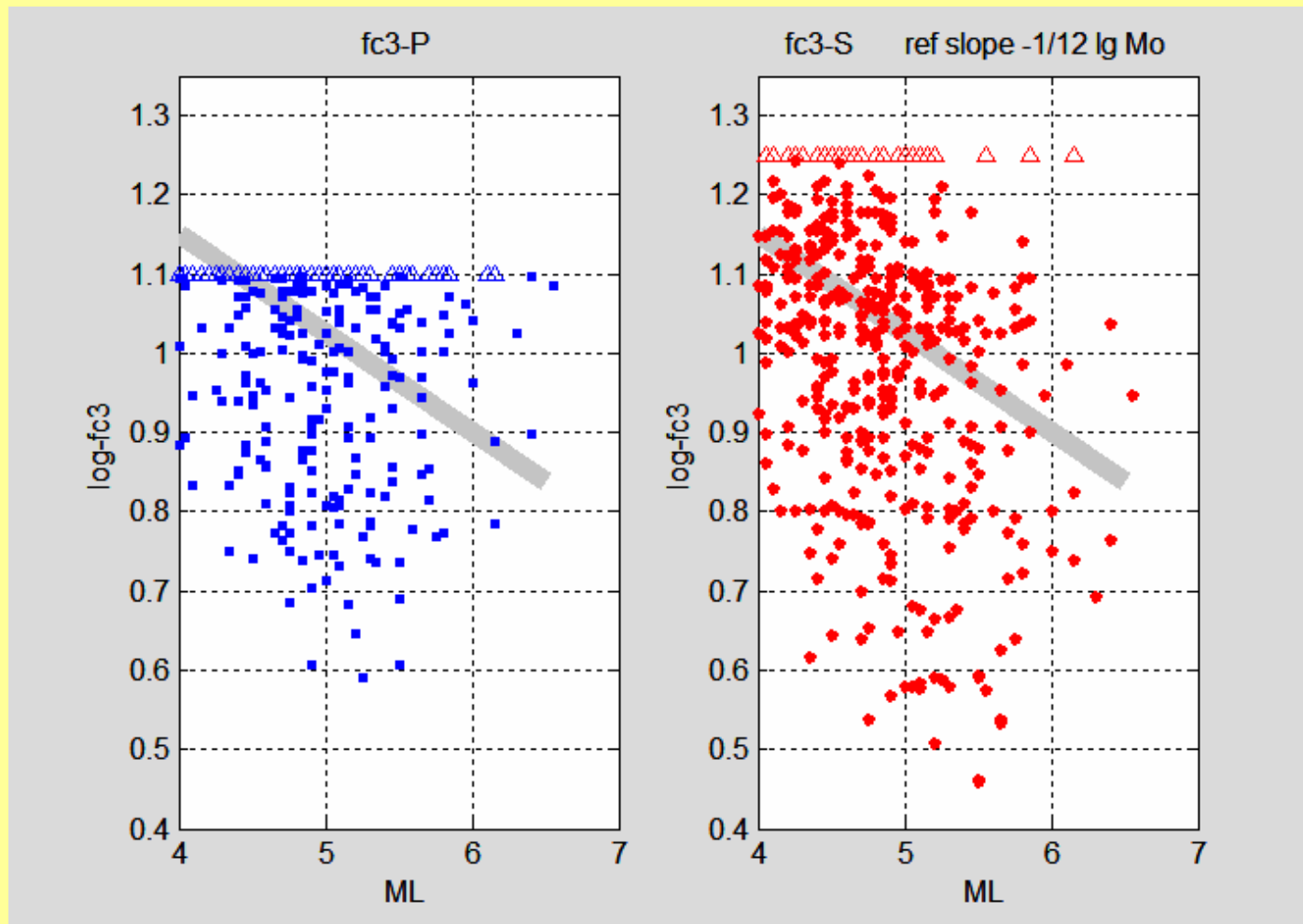


$f_{c2}(M)$: $d \lg f_{c2} / d \lg M_0 \approx 0.15-0.18 [\pm 0.011] \ll 1/3$
similarity is definitely violated;

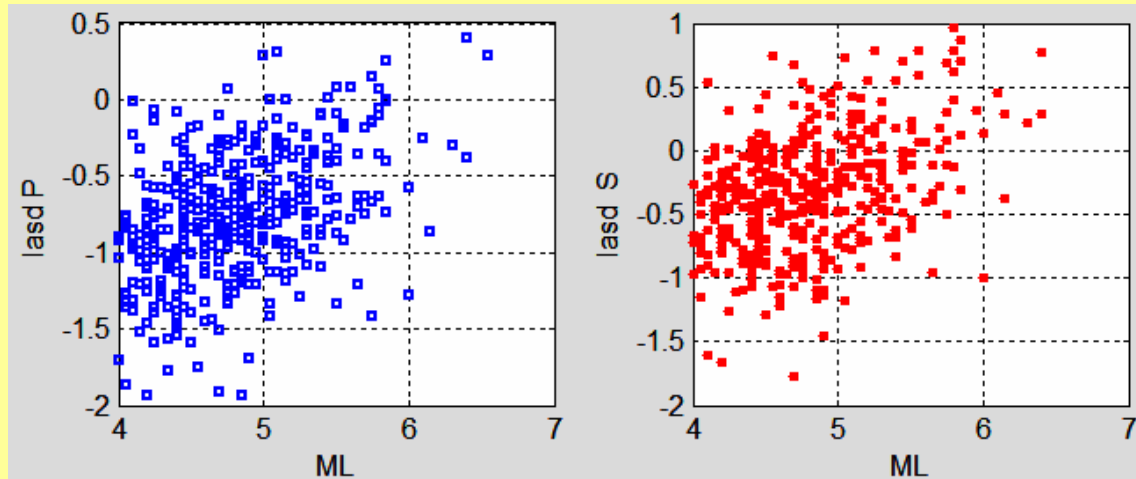


$$f_{c3}(M): d\lg f_{c1}/d\lg M_0 \approx -0.08 \pm 0.013$$

no similarity present



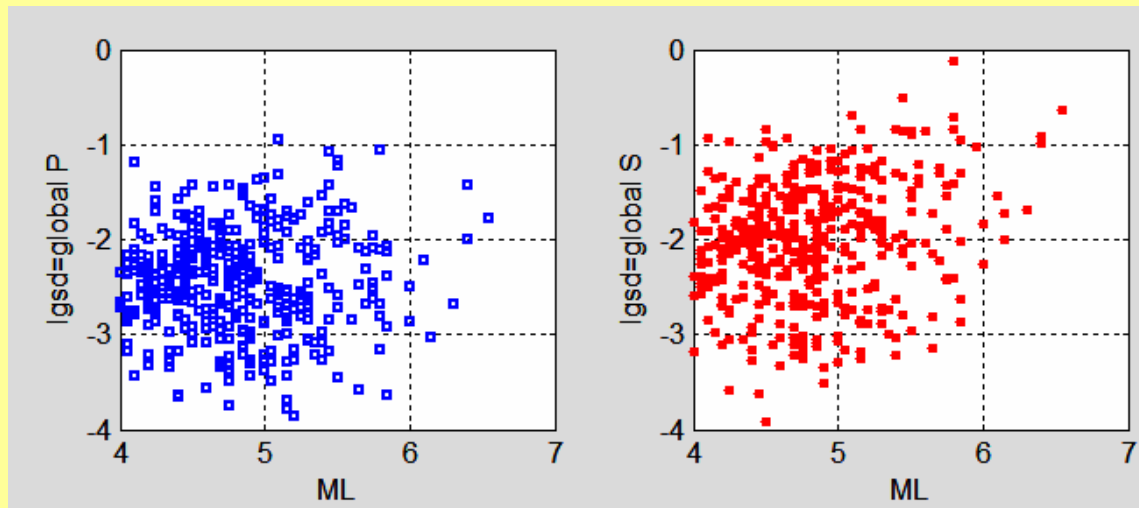
Apparent stress σ_a vs M : no similarity



Two ways of checking the similarity assumption make different results

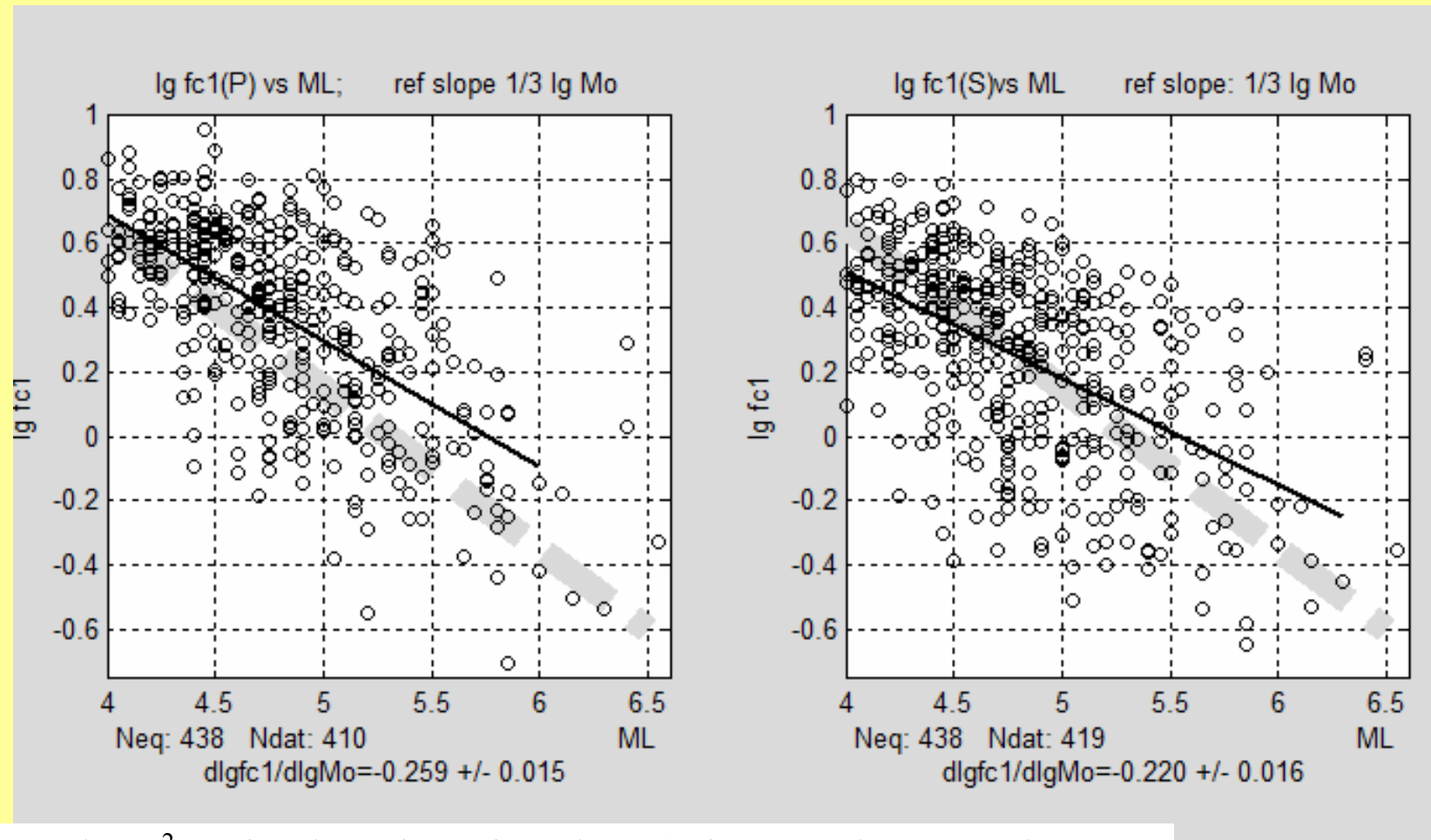
$$\sigma_a = \frac{E_s}{M_0} \propto \frac{v_{\max}^2(f)(f_{c2} - f_{c1})}{d(f)|_{f=0}}$$

Stress drop $\Delta\sigma$ vs. M : approximate similarity



$$\Delta\sigma \approx \frac{M_0}{R^3} \propto f_{c1}^3 \cdot d(f)|_{f=0}$$

f_{c1} vs. M

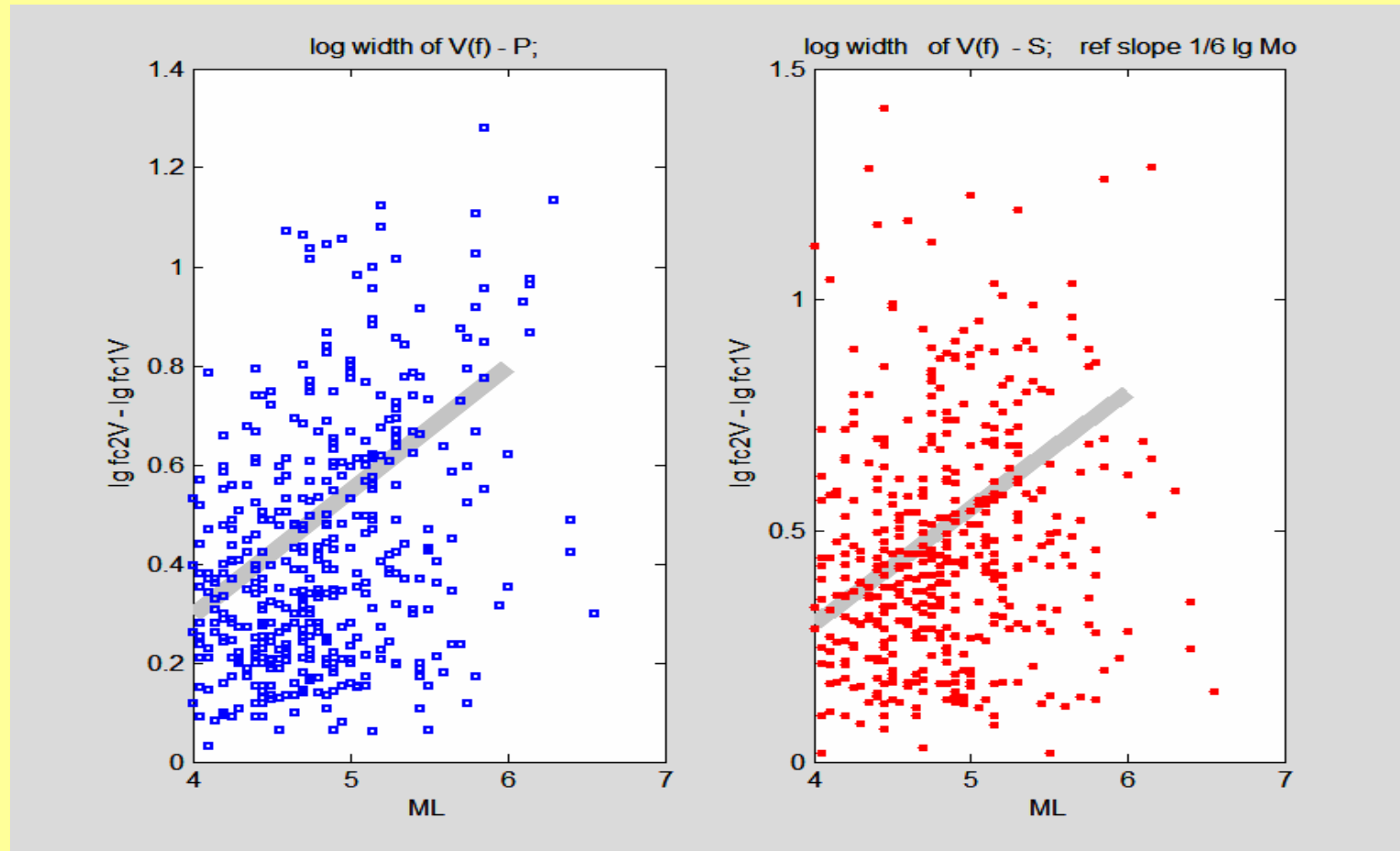


$$\log \sigma_a = \log v_{\max}^2(f) + \log(f_{c2} - f_{c1}) - \log(d(f=0)) = \log(E_s) - \log(M_0)$$

$$\log \Delta \sigma = \log d(f=0) + 3 \log(f_{c1})$$

IUGG Prague 2015 (all at $r = 1$ km)

$LV = \log f_{c2} - \log f_{c1}$: log-width of velocity spectrum $V(f)$ vs. M
(similarity would result in M -independent LV)



Variation of LV with M causes M -dependence of σ_a at a fixed $\Delta\sigma$

Possible physics that underlies trends of f_{c2}, f_{c3}

- f_{c2} is probably related to **slip pulse width**;
the trend $f_{c2} \propto f_{c1}^{0.5-0.6}$ suggests that pulse width grows by some mechanism akin to **random walk**
- f_{c3} is probably related to the **lower limit of the size of fault surface heterogeneity, (or else to cohesion zone width, or both)** (compare Aki (1983)), ;
the trend $f_{c3} \propto f_{c1}^{0.2-0.3}$ suggests that these parameters increase with source size, however very slowly. Probably this trend reflect variations in fault surface maturity: the greater slipped distance, the larger is accumulated wear and the lower is upper cutoff of heterogeneity spectrum. (compare Gusev 1990; Matsu'ura 1990,1992).